



2612
2622
PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Peterka et al.

Application No.: 09/830,754

Filed: April 30, 2001

RECEIVED

#5
U. I. Wilson
5/26/04

JUL 12 2001

Technology Center 2600

For: APPLICATION PROGRAMMING INTERFACE (API) FOR ACCESSING AND
MANAGING RESOURCES IN A DIGITAL TELEVISION RECEIVER

Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on July 5, 2001.

By: Carol Prentice
Carol Prentice

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with 37 C.F.R. §1.56, Applicant wishes to call
the attention of the Examiner to the following reference:

U.S. PATENTS:

<u>Patent No.</u>	<u>Patentee</u>	<u>Date of Issue</u>
5,815,678	Hoffman et al.	September 29, 1998
5,768,539	Metz, et al	June 16, 1998
5,758,257	Herz, et al.	May 26, 1998
5,742,772	Sreenan	April 21, 1998
5,717,691	Dighe, et al.	February 10, 1998

TM

FOREIGN PATENT DOCUMENTS:

<u>Country</u>	<u>No.</u>	<u>Date of Publication</u>
EPO	EP 0 944 257 A1	September 22, 1999
EPO	EP 0 854 645 A2	July 22, 1998
EPO	EP 0 817 041 A2	January 7, 1998
EPO	EP 0 813 147 A2	December 17, 1997
Germany	DE 196 50 515 A1	June 25, 1998

PUBLICATION(S):

1. Evain, J., "The Multimedia Home Platform", EBU Review - Technical, BE, European Broadcasting Union, Brussels, No. 275, March 21, 1998 (7 Pages).
2. Rath, K., et al., "Set-Top Box Control Software: A Key Component in Digital Video", Philips Journal of Research, Amsterdam, Vol. 50, no. 1 / 02 July 1996 (15 Pages).
3. Wall, W.E., "An Advanced Video Platform for the Cable Industry", Fourth International Workshop on Community Network Processing, Georgia, USA, September 11, 1997 (3 Pages).

4. Hartwig, S. "Softwarearchitekturen Für Interaktive Digitale Decoder", Fernseh Und Kinotechnik, Vol. 50. No. 3, Germany, March 1, 1996 (11 Pages).

The Hartwig article was identified as category A background information in the International Search Report received in connection with the counterpart PCT application. The figures in Hartwig are labeled in English.

An English language Abstract is provided for German patent no. DE 196 50 515 A1.

Copies of the above-listed references are enclosed, together with a completed form PTO-1449.

This Information Disclosure Statement is being filed within three months of the filing date of the subject patent application, and therefore no fee is due.

Consideration of these references by the Examiner is respectfully requested.

Respectfully submitted,



Barry R. Lipsitz
Attorney for Applicant(s)
Registration No. 28,637
755 Main Street, Bldg. 8
Monroe, CT 06468
(203) 459-0200

Date: July 5, 2001
ATTORNEY DOCKET NO.: GIC-561



SHEET 1 OF 1

FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT			ATTY. DOCKET NO.: GIC-561		SERIAL NO.: 09/830,754		
			APPLICANT(S): Peterka, et al.				
			FILING DATE: April 30, 2001		GROUP:		
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA	5,815,678	Sept. 29, 1998	Hoffman et al.			
	AB	5,768,539	June 16, 1998	Metz et al.			
	AC	5,758,257	May 26, 1998	Herz et al.			
	AD	5,742,772	April 21, 1998	Sreenan			
	AE	5,717,691	Feb. 10, 1998	Dighe et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO
	AF	EP 0 944 257 A1	Sept. 22, 1999	EPO			
	AG	EP 0 854 645 A2	July 22, 1998	EPO			
	AH	EP 0 817 041 A2	Jan. 7, 1998	EPO			
	AI	EP 0 813 147 A2	Dec. 17, 1997	EPO			
	AJ	DE 196 50 515 A1	June 25, 1998	Germany			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
	AK	Evain, J., "The Multimedia Home Platform", EBU Review - Technical, BE, European Broadcasting Union, Brussels, No. 275, March 21, 1998 (7 Pages).					
	AL	Rath, K., et al., "Set-Top Box Control Software: A Key Component in Digital Video", Philips Journal of Research, Amsterdam, Vol. 50, no. 1 / 02 July 1996 (15 pages).					
	AM	Wall, W.E., "An Advanced Video Platform for the Cable Industry", Fourth International Workshop on Community Network Processing, Georgia, USA, September 11, 1997 (3 Pages).					
	AN	Hartwig, S. "Softwarearchitekturen Für Interaktive Digitale Decoder", Fernseh Und Kinotechnik, Vol. 50, No. 3, Germany, March 1, 1996 (11 Pages).					
EXAMINER			DATE CONSIDERED				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							